

Docket No. 202594 US2RD/jmh.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Junichi AKIYAMA, et al.

SERIAL NO: 09/772,894

GAU:

FILED: January 31, 2001

EXAMINER:

FOR: THERMALLY-ASSISTED MAGNETIC RECORDING HEAD, METHOD OF MANUFACTURING THE SAME, AND THERMALLY-ASSISTED MAGNETIC RECORDING APPARATUS

### INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

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SIR:

Applicant(s) wish to disclose the following information.

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#### REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

#### CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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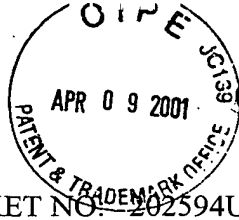
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Group Art Unit:

STATEMENT OF RELEVANCY

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**Reference AO (JP 2-037501) on Form 1449:**

✓ This reference discloses a near field not utilized for heating a medium.

**Reference AW on Form 1449:**

This reference discloses a magnetic disk interposed between an optical head and a magnetic head. An integrated head with both of optical unit and magnetic one is not utilized.

**Reference AX on Form 1449:**

This reference discloses a magnetic disk interposed between an optical head and a magnetic head. An integrated head with both of optical unit and magnetic one is not utilized.

**Reference AY on Form 1449:**

This references discloses a magnetic disk interposed between an optical head and a magnetic head. An integrated head with both of optical unit and magnetic one is not utilized.